

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**(Under 37 CFR 1.97(b) or 1.97(c))**Docket No.
18688In Re Application Of: **Kathryn E. Lawlor, et al.**

Application No.

Filing Date

Examiner

Customer No.

Group Art Unit

Confirmation No.

10/525,363

September 6, 2005

Unassigned

23389

1615

5197

Title: **A METHOD OF TREATMENT AND PROPHYLAXIS**

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

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18688

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10/525,363	September 6, 2005	Unassigned	23389	1615	5197

Title: A METHOD OF TREATMENT AND PROPHYLAXIS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below.
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June 6, 2007

(Date) _____

Signature of Person Mailing Correspondence _____

Xiaochun Zhu

Typed or Printed Name of Person Mailing Certificate _____

*This certificate may only be used if paying by deposit account.

Signature _____

Dated: June 6, 2007

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants:	Kathryn E. Lawlor et al.	Examiner:	Unassigned
Serial No.:	10/525,363	Art Unit:	1615
Filed:	September 6, 2005	Docket:	18688
For:	A METHOD OF TREATMENT AND PROPHYLAXIS	Dated:	June 6, 2007

Confirmation No: 5197

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: June 6, 2007
Xiaochun Zhu

1. United States Patent No. 5,589,456 dated December 31, 1996, to Smith et al.;
2. United States Patent No. 6,946,548 B2 dated September 20, 2005, to Sarkar et al.;
3. United States Patent No. 6,790,628 B2 dated September 14, 2004, to Sarkar et al.;
4. European Patent Application No. EP 1317537 B1, published June 11, 2003; and
5. PCT International Publication No. WO 02/20767 A2, published March 14, 2002.

Applicants are submitting copies of reference numbers 4-5, as required by 37

C.F.R. §§1.98 (a)(2)(i) and (iii).

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



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Form PTO-1449 U.S. DEPARTMENT OF COMMERCE
(REV. 7-80) PATENT AND TRADEMARK OFFICE**LIST OF PRIOR ART
CITED BY APPLICANT**

(Use several sheets if necessary)

Atty. Docket No.
18688Serial No.
10/535,363Applicants
Kathryn E. Lawlor, et al.Filing Date
September 6, 2005Group
1615**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)
/CMW/	1.	5,589,456	12/31/1996	Smith et al.			
↓	2.	6,946,548	9/20/2005	Sarkar et al.			
/CMW/	3.	6,790,628	9/14/2004	Sarkar et al.			

		Foreign Document Number	Date	Country	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
/CMW/	4.	EP 1317537 B1	6/11/2003	EPO				
/CMW/	5.	WO 02/20767 A2	3/14/2002	PCT				

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER /Cherie M. Woodward/

DATE CONSIDERED 01/02/2008

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.